

**Patent** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of John E. Auer

Serial No.:

09/990,972

Filed:

November 17, 2001

For: Apparatus for Processing and Displaying

Patient Medical Information

Examiner: N/A

Group Art: 2161

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**GROUP 3600** 

INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION AND PETITION FOR CONSIDERATION UNDER 37 CFR § 1.97

Mail Stop Group 2161 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. These documents were cited in a search report issued in the corresponding PCT application. Copies of the listed documents are also enclosed.

This information is being submitted subsequent to filing and before the mailing of a first office action. Accordingly, pursuant to 37 CFR § 1.97(d), the undersigned submits that no fee is presently due.

Petition under 37 CFR § 1.97(d)

The undersigned hereby petitions that the Examiner consider the

information cited in this Statement. As this petition is filed after filing of the application

and before mailing of a first office action it is respectfully submitted that no fee is due.

However, if a fee is due please charge the fee to Patent and Trademark Office Deposit

Account No. 502828.

It is respectfully requested that the above information be considered by the

Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such

information has been considered.

I hereby declare that all statements made herein of my own knowledge are

true and that all statements made on information and belief are believed to be true; and

further that these statements were made with the knowledge that willful false statements

and the like so made are punishable by fine or imprisonment, or both, under Section 1001

-f Title 18 of the United States Code and that such willful false statements may jeopardize

the validity of the application or any patent issued thereon.

Respectfully submitted,

By:

1350 Broa⊌way

New York, NY 10018

(212) 971-9017

Dated: October 22, 2003

Sheet 1 of 1

### LIST OF REFERENCES CITED BY APPLICANT

Atty Docket No.:

2000P09061US01

Filing Date: November 17, 20

PATENT AND TRADEMARK OFFICE

OCT 2 7 2003

Serial No.: -/

09/990,972

Group Art: 2161

Applicant:

John E. Auer

### U.S. PATENT DOCUMENTS

*Ex. Intls.		Document No.	Date	Name	Class	Subclass	Filing Date	
	AA							
•	AB							
	AC						RECE	IVED
	AD						OCT 2	<b>9</b> 2003
-	AE						GROU	P 3600

#### FOREIGN PATENT DOCUMENTS

*Ex. Intls.		Document No.	Date	Country	Name	Class	Subclass	Translation Yes/No
	AF	WO 98/29790	July 9, 1998	PCT	Schoenberg et al	GO6F		
	AG	WO 96/27163	Sept. 6, 1996	PCT	Haudenschild et al	G06F 17	60	•
	AH							
	AI							
	AJ							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AK			
AO		•	
AP			

XAMINER:	DATE CONSIDERED:	
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.